

# Countably categorical structures and the computational complexity of constraint satisfaction problems

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# Constraint Satisfaction Problems

Let  $\Gamma$  be a structure with a **finite** relational signature  $\tau$ .  
 $\Gamma$  also called the **template**.

**Definition 1 (CSP).**

**CSP( $\Gamma$ )** is the computational problem to decide whether a given finite  $\tau$ -structure homomorphically maps to  $\Gamma$ .

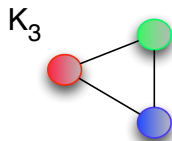
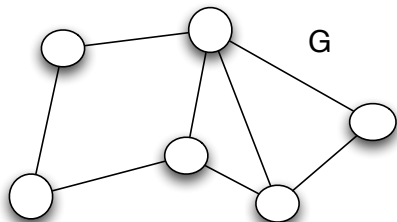
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**Example:** 3-colorability is  $\text{CSP}(K_3)$



# Examples of CSPs

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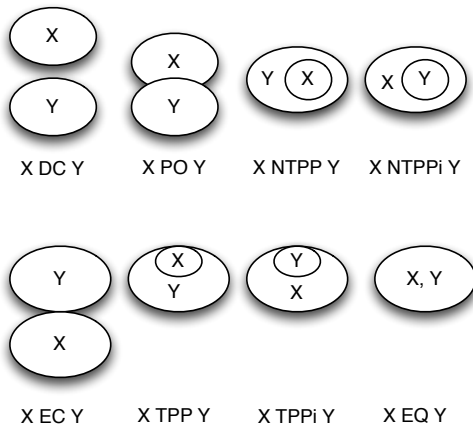
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Complexity: NP-complete.

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Abstract description of 'regions' by binary relations



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Moreover:

$$PP(x, y) \Leftrightarrow NTPP(x, y) \vee TPP(x, y)$$

$$P(x, y) \Leftrightarrow PP(x, y) \vee EQ(x, y)$$

$$DC(x, y) \wedge P(z, y) \Rightarrow DC(x, z)$$

$$EC(x, y) \wedge P(y, z) \Rightarrow EC(x, z) \vee PO(x, z) \vee PP(x, z)$$

$$EC(x, y) \wedge P(z, y) \Rightarrow DC(x, z) \vee EC(x, z)$$

$$EC(x, y) \wedge NTPPi(y, z) \Rightarrow DC(x, z)$$

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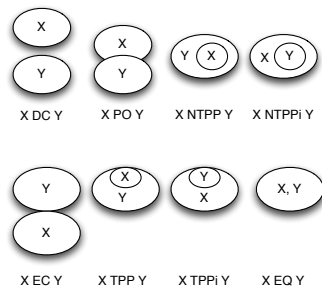
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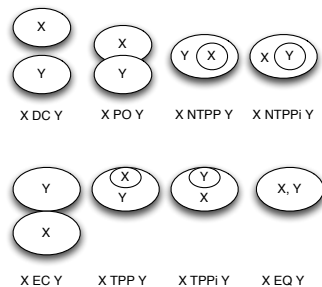
$$Pi(x, y) \wedge P(y, z) \Rightarrow \neg DC(x, z)$$

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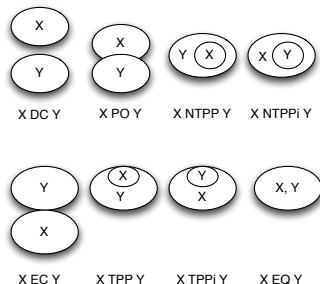
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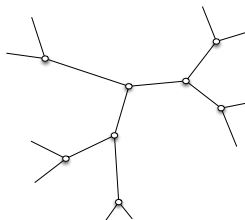


- **Fact:** the class of all finite RCC8 models forms an amalgamation class
- Let  $\Gamma$  be the Fraïssé-limit of this class
- $\text{CSP}(\Gamma)$  studied intensively in Artificial Intelligence (for 'qualitative spatial reasoning'); can be solved in polynomial time



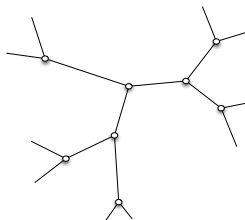
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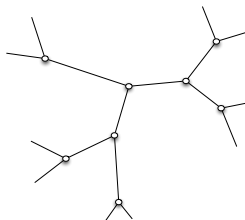
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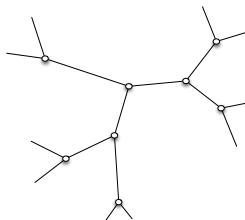
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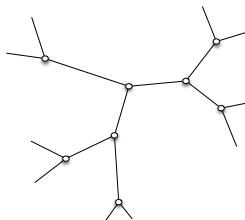
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- $\text{CSP}(\Gamma)$  known as the [quartet consistency problem](#) in phylogenetics, and NP-complete

# Complexity Classification



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Given a fixed structure  $\Gamma$ ,  
what is the computational complexity of  $\text{CSP}(\Gamma)$ ?

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Strongest evidence comes from the so-called **universal algebraic approach**.

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- Fraïssé-limits of classes of structures with finite relational signature are  $\omega$ -categorical

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# 1st Step: Primitive Positive Definability

A first-order formula is called **primitive positive (pp)** if it is of the form

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How do we recognize whether a relation  $R$  is pp-definable in  $\Gamma$ ?

## 2nd Step: Polymorphisms

A function  $f: D^k \rightarrow D$  **preserves**  $R \subseteq D^m$  if  
 $(f(a_1^1, \dots, a_1^k), \dots, f(a_m^1, \dots, a_m^k)) \in R$  whenever  $(a_1^i, \dots, a_m^i) \in R$  for all  $i \leq m$ .

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**Theorem (MB+Nešetřil'03).**

Let  $\Gamma$  be  $\omega$ -categorical. Then a relation  $R$  has a pp-definition in  $\Gamma$  **if and only if**  $R$  is preserved by all polymorphisms of  $\Gamma$ .

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The set of all polymorphisms of  $\Gamma$ , denoted  $\text{Pol}(\Gamma)$ , is a **function clone**:

- closed under compositions
- contains the projections

## 3rd Step: Cores

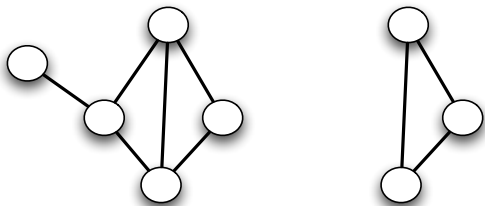


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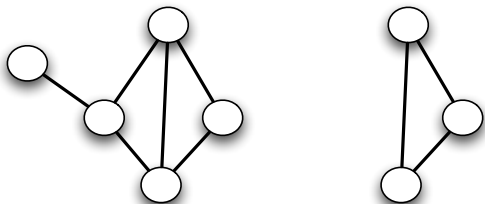
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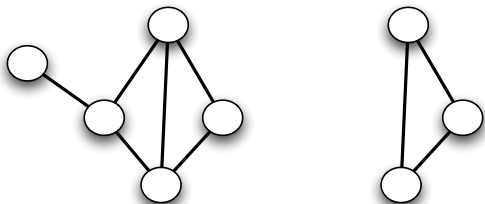
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A first-order theory  $T$  is called **model-complete** if embeddings between models of  $T$  preserve first-order formulas.

**Observation:** When  $\Gamma$  is  $\omega$ -categorical then the theory of  $\Gamma$  is model-complete if and only if all self-embeddings of  $\Gamma$  preserves all first-order formulas.

## 3rd Step continued: Model-complete Cores

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**Theorem (MB'05,MB+Hils+Martin'10).**

Every finite or countable  $\omega$ -categorical structure is homomorphically equivalent to a model-complete core  $\Delta$ .

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**Example:** The model-complete core of the countable random graph is a countably infinite clique.

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Theorem ('Topological Birkhoff', MB+Pinsker'13).

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Then  $\xi: \mathcal{C} \rightarrow \mathcal{D}$  is called a **clone homomorphism** if  $\xi$  preserves arities, preserves the projections, and

$$\xi(f(g_1, \dots, g_k)) = \xi(f)(\xi(g_1), \dots, \xi(g_k))$$

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### Proposition.

Let  $\Gamma$  be  $\omega$ -categorical such that  $\text{Pol}(\Gamma)$  homomorphically and continuously maps to the clone of projections. Then  $\text{CSP}(\Gamma)$  is NP-hard.

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Tractability is already known when there is a polymorphism that is

- **majority**, that is, satisfies  $\forall x, y. f(x, x, y) = f(x, y, x) = f(y, x, x) = x$ .
- **Maltsev**, that is, satisfies  $\forall x, y. f(x, y, y) = f(y, y, x) = x$ .
- **semi-lattice**, that is, is binary commutative, associative, idempotent.
- ...

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**Observation:** If  $\Gamma$  is homogeneous in a finite relational language, then there is only a finite number of distinct behaviors with respect to  $\Gamma$ .

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This method can also be applied to study reducts **up to first-order interdefinability** (see talk of Pongrácz!)

# Proof Method Continued: Ramsey Classes

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Write  $\binom{G}{H}$  for the set of all induced substructures of  $G$  that are isomorphic to  $H$ .

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**Example:** The class of all finite **linearly ordered** graphs. (Nešetřil-Rödl)

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$$S := \overline{\{\beta f(\alpha_1, \dots, \alpha_k) \mid \beta, \alpha_1, \dots, \alpha_k \in \text{Aut}(\Delta, c_1, \dots, c_n)\}}$$

which is canonical with respect to  $(\Delta, c_1, \dots, c_n)$ .

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Cf. conjectures in other talks at the HIM

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**Conclusion:** since there are only countably many algorithms, there exists a  $\Gamma$  such that  $\text{CSP}(\Gamma)$  is undecidable.

There are even homogeneous digraphs  $\Gamma$  such that  $\text{CSP}(\Gamma)$  is in coNP, but neither coNP-complete, nor in P (unless  $P=\text{coNP}$ ; MB.+Grohe'08)

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**Conjecture (MB+Pinsker'11).**

Reducts of homogeneous finitely bounded structures have a complexity dichotomy for the CSP: the CSP is NP-complete or in P.

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- 3 Is there an  $\omega$ -categorical structure whose CSP is in NP, but neither NP-complete nor in P?