

An operator algebra approach to the classification of certain fusion categories II

Masaki Izumi

Graduate School of Science, Kyoto University

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Let M be a type III factor.

$\text{End}_0(M)$ is a rigid tensor category with $\rho \otimes \sigma = \rho \circ \sigma$, and $(\rho, \sigma) = \{T \in M; T\rho(x) = \sigma(x)T, \forall x \in M\}$.

Recall $\exists R_\rho \in (\text{id}, \bar{\rho}\rho)$, $\exists \overline{R}_\rho \in (\text{id}, \rho\bar{\rho})$ satisfying

$$\overline{R}_\rho^* \rho(R_\rho) = R_\rho^* \bar{\rho}(\overline{R}_\rho) = 1, \quad R_\rho^* R_\rho = \overline{R}_\rho^* \overline{R}_\rho = d(\rho).$$

If ρ is irreducible and $\rho = \bar{\rho}$, we have $\overline{R}_\rho = \epsilon R_\rho$, $\epsilon \in \{1, -1\}$.

(in fact, $\epsilon = \nu_2(\rho)$.)

We say that ρ is real (resp. pseudo-real) if $\epsilon = 1$ (resp. $\epsilon = -1$).

If ρ is irreducible, $(\rho, \sigma\mu)$ is a Hilbert space with

$\langle T_1, T_2 \rangle := T_2^* T_1 \in (\rho, \rho) = \mathbb{C}$ for $T_1, T_2 \in (\rho, \sigma\mu)$.

Definition (Siehler 2003)

Let G be a finite group.

A **near-group category** with G is a fusion category \mathcal{C} with $\text{Irr}(\mathcal{C}) = G \sqcup \{\rho\}$.

The possible fusion rules are

$$[g][h] = [gh], \quad g, h \in G,$$

$$[g][\rho] = [\rho][g] = [\rho],$$

$$[\rho]^2 = \sum_{g \in G} [g] \oplus m[\rho], \quad m = 0, 1, 2, \dots$$

We denote by $R(G, m)$ the corresponding based ring.

Examples

$\text{Rep}(\mathfrak{S}_3)$ is a categorification of $R(\mathbb{Z}_2, 1)$.

$\text{Rep}(\mathfrak{A}_4)$ is a categorification of $R(\mathbb{Z}_3, 2)$.

$\text{Rep}(D_8)$ and $\text{Rep}(Q_8)$ are categorifications of $R(\mathbb{Z}_2 \times \mathbb{Z}_2, 0)$.

Ising model is a categorification of $R(\mathbb{Z}_2, 0)$.

Even part of WZW model with $SU(2)_3$ is a categorification of $R(\{e\}, 1)$.

Even part of the E_6 subfactors are categorifications of $R(\mathbb{Z}_2, 2)$.

Theorem (Tambara-Yamagami 1998)

$R(G, 0)$ allows a categorification if and only if G is abelian.

When G is abelian, the categorifications of $R(G, 0)$ are in one-to-one correspondence with the data $\{(\epsilon, \langle \cdot, \cdot \rangle)\}$, where $\epsilon \in \{1, -1\}$ and $\langle \cdot, \cdot \rangle : G \times G \rightarrow \mathbb{T}$ is a non-degenerate symmetric bicharacter.

These categories are called Tambara-Yamagami categories.

Theorem (Ostrik, 2003)

$R(\{e\}, m)$ allows a categorification if and only if $m = 1$.

When $m = 1$, there exists a unique categorification up to Galois conjugate.

Known classification results (continued)

Theorem (Siehler 2003)

$R(G, |G| - 1)$ allows a categorification if and only if G is a cyclic group and $q = |G| + 1$ is a prime power.

Theorem (Etingof-Gelaki-Ostrik 2004)

$R(\mathbb{Z}_n, n - 1)$ allows a categorification if and only if $q = n + 1$ is a prime power.

Except for $n = 2, 3, 7$, there exists a unique categorification $\text{Rep}(\mathbb{F}_q \rtimes \mathbb{F}_q^\times)$.
There are 3 categorifications for $n = 2$, and there are 2 for $n = 3, 7$.

The exceptions come from $H^3(\mathbb{F}_q, \mathbb{C}^\times)^{\mathbb{F}_q^\times}$.

General theorem

Let \mathcal{C} be a near-group category with a finite group G .

Let $d = d(\rho) = \frac{m + \sqrt{m^2 + 4|G|}}{2}$.

We consider only C^* fusion categories.

Theorem

Assume G is non-trivial and $m \neq 0$.

If d is rational, then either of the following holds:

- (i) $m = |G| - 1$ (already classified by Siehler and Etingof-Gelaki-Ostrik).
- (ii) G is an extra-special 2-group of order 2^{2a+1} and $m = 2^a$.
(a 2-group is extra-special if $[G, G] = Z(G) \cong \mathbb{Z}_2$, e.g. D_8 and Q_8 .)
For each extra-special 2-group G of order 2^{2a+1} , there exist exactly 3 categorifications of $R(G, 2^a)$.

If d is irrational, then G is abelian and m is a multiple of $|G|$.

Moreover, the categorifications of $R(G, m)$ are completely classified by explicit polynomial equations.

Polynomial equations for the categorifications of $R(G, |G|)$

$\langle \cdot, \cdot \rangle : G \times G \rightarrow \mathbb{T}$: non-degenerate symmetric bicharacter,
 $a : G \rightarrow \mathbb{T}$, $b : G \rightarrow \mathbb{C}$, $c \in \mathbb{T}$,

$$\langle g, h \rangle = a(g)a(h)\overline{a(g+h)}, \quad a(-g) = a(g),$$

$$c^3 = \frac{1}{\sqrt{|G|}} \sum_{g \in G} \overline{a(g)},$$

$$b(0) = \frac{-1}{d}, \quad b(g) = \frac{\overline{ca(g)}}{\sqrt{|G|}} \sum_{h \in G} \langle g, h \rangle b(h),$$

$$\overline{b(g)} = a(g)b(-g),$$

$$|b(g)| = \frac{1}{\sqrt{|G|}}, \quad g \in G \setminus \{0\},$$

$$\sum_{g \in G} b(g+h)b(g+k)\overline{b(g)} = \overline{\langle h, k \rangle} b(h)b(k) - \frac{c}{d\sqrt{|G|}}.$$

Polynomial equations for the categorification of $R(G, |G|)$ (continued)

Evans-Gannon determined the solutions for $\#G \leq 13$, and they always exist except for $G = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$.

G	$\#$ (solutions/Aut(G))
\mathbb{Z}_2	2
\mathbb{Z}_3	2
\mathbb{Z}_4	2
\mathbb{Z}_5	3
\mathbb{Z}_6	4
\mathbb{Z}_7	2
\mathbb{Z}_8	8
\mathbb{Z}_9	2
\mathbb{Z}_{10}	4
\mathbb{Z}_{11}	4
\mathbb{Z}_{12}	4
\mathbb{Z}_{13}	4

Higher multiplicity case

$G = \mathbb{Z}_2$: $m \leq 2$ (Ostrik).

$G = \mathbb{Z}_3$: $m \leq 6$ (Larson),

For $m = 6$, there exist exactly two near-group categories (Liu-Snyder, Evans-Pugh, I.).

$G = \mathbb{Z}_4$ and $\mathbb{Z}_2 \times \mathbb{Z}_2$: There is no near-group categories for $m = 8$ (I).

Strategy for the proof

Step I: Show the group part has trivial $H^3(G, \mathbb{T})$ obstruction, and a privileged lifting.

Step II: Construct a unitary representation of G and show a character formula.

Step III: Rational abelian case:

Use group actions on factors and intermediate subfactors to reduce the problem to cohomology computation.

Step IV: Rational non-commutative case:

Necessity of $G =$ extra-special 2-groups: Use group action on factors and intermediate subfactors.

Existence: Cuntz algebra endomorphisms.

Step III: Irrational case.

Quadratic form: Construct 3 unitary representations.

Existence: Cuntz algebra endomorphisms.

Assume $\mathcal{C} \subset \text{End}_0(M)$ is a near-group category with $G \neq \{e\}$ and $m \neq 0$.
Then $\text{Irr}(\mathcal{C}) = \{[\alpha_g]\}_{g \in G} \sqcup \{[\rho]\}$.

Since $[\alpha_g][\rho] = [\rho]$, we may assume $\alpha_g \circ \rho = \rho$.
($\text{Ad}^{\exists} U_g \circ \alpha_g = \rho$, replace α_g with $\text{Ad} U_g \circ \alpha_g$.)

We get $\alpha_g \circ \alpha_h = \alpha_{gh}$.

($\rho = \alpha_g \circ \alpha_h \circ \rho$ and $\alpha_g \circ \alpha_h = \text{Ad}^{\exists} U_{g,h} \circ \alpha_{gh}$
 $\Rightarrow \text{Ad} U_{g,h} \circ \rho = \rho \Rightarrow U_{g,h} \in \mathbb{T} \Rightarrow \alpha_g \circ \alpha_h = \alpha_{gh}$.)

α has trivial H^3 -obstruction and a privileged lifting to an action.

Cuntz algebra endomorphisms

Choose an isometry $S_e \in (\text{id}, \rho^2)$.

Then $S_e^* \rho(S_e) = \frac{\epsilon}{d}$, where $d = d(\rho) = (m + \sqrt{m^2 + 4|G|})/2$.

Set $S_g = \alpha_g(S_e) \in (\alpha_g, \rho^2)$.

Choose an ONB $\{T_i\}_{i=1}^m$ of (ρ, ρ^2) .

$\{S_g\}_{g \in G} \cup \{T_i\}_{i=1}^m$ satisfies the Cuntz algebra $\mathcal{O}_{|G|+m}$ -relation, that is, having mutually orthogonal ranges with summation 1.

Moreover α_g and ρ preserve the $*$ -algebra generated by

$\{S_g\}_{g \in G} \cup \{T_i\}_{i=1}^m$.

Proof on board.

Character formula

Since $[\rho\alpha_g] = [\rho]$, $\exists U(g) \in (\rho, \rho\alpha_g)$.

Since $U(g)S_e \in (\text{id}, \rho^2) = \mathbb{C}S_e$, normalize $U(g)$ by $U(g)S_e = S_e$.

$\{U(g)\}_{g \in G}$ is a unitary representation of G in $(\rho, \rho\alpha_g) \subset (\rho^2, \rho\alpha_g\rho) = (\rho^2, \rho^2)$.

Since $[\rho^2] = \sum_{g \in G} [\alpha_g] + m[\rho]$,

$$(\rho^2, \rho^2) = \bigoplus_{g \in G} \mathbb{C}S_g S_g^* \oplus B(\mathcal{K}),$$

where $\mathcal{K} = (\rho, \rho^2)$, and we have decomposition

$$U(g) = \sum_{h \in G} \chi_h(g) S_h S_h^* + U_{\mathcal{K}}(g).$$

Compute the categorical trace of the both sides on board.

Character formula (continued)

$$\left(1 + \frac{m}{|G|}d(\rho)\right) \text{Tr}(\lambda_g) = \sum_{h \in G} \chi_h(g) + d(\rho) \text{Tr}(U_K(g)).$$

Lemma

$d = \frac{m + \sqrt{m^2 + 4|G|}}{2} \in \mathbb{R} \setminus \mathbb{Q} \Rightarrow G$ is abelian, m is a multiple of $|G|$, and

$$\bigoplus_{h \in G} \chi_h \cong \lambda,$$

$$U_K \cong \frac{m}{|G|} \lambda.$$

When d is rational (integer), $s = 1 + \frac{m}{|G|}d(\rho) \in \mathbb{N}$.

$$d(\rho)^2 = |G| + md(\rho) \Rightarrow (s-1)^2|G|^2 = sm^2 \Rightarrow t = \frac{m}{s-1} \in \mathbb{N}.$$

Character formula (continued)

Lemma

$d \in \mathbb{Q}$ (in fact \mathbb{N}) $\Rightarrow \exists s, t \in \mathbb{N}$ such that $|G| = st^2$, $m = (s-1)t$, $d = st$.
Moreover,

- (i) $t = 1 \Rightarrow \chi_h = 1$ and $1 \oplus U_{\mathcal{K}} \cong \lambda$.
- (ii) $t > 1 \Rightarrow G$ is non-abelian, $\#\text{Hom}(G, \mathbb{T}) = t^2$ and

$$\bigoplus_{h \in G} \chi_h \equiv s \bigoplus_{\chi \in \text{Hom}(G, \mathbb{T})} \chi.$$

Let $\hat{G}^\dagger = \hat{G} \setminus \text{Hom}(G, \mathbb{T})$. Then $t \mid \dim \pi$ for all $\pi \in \hat{G}^\dagger$, and

$$U_{\mathcal{K}} \cong \bigoplus_{\pi \in \hat{G}^\dagger} \frac{\dim \pi}{t} \pi.$$

Rational abelian case

Assume $m = |G| - 1 \Rightarrow d(\rho) = |G|$.

Since $\alpha_g \circ \rho = \rho$, we have $N = \rho(M) \subset M^G \subset M$ with $[M : M^G] = [M^G : N] = |G|$.

Let $\kappa : M^G \hookrightarrow M$.

Then $\exists \mu : M \rightarrow M^G$ with $\rho = \kappa\mu$, $d(\kappa) = d(\mu) = \sqrt{|G|}$.

Lemma

$\exists \theta \in \text{Aut}(M^G)$ such that $\rho = \kappa\theta\bar{\kappa}$.

Proof.

$\rho = \bar{\rho} \Rightarrow \rho = \bar{\mu} \bar{\kappa} \Rightarrow \bar{\mu}\mu \prec \rho^2 \Rightarrow [\bar{\mu}\mu] = \sum_{g \in G} [\alpha_g] \Rightarrow \text{Ad}^{\exists} U_g \circ \alpha_g \circ \bar{\mu} = \bar{\mu}$.
 $\alpha_g \circ \rho = \rho \Rightarrow U_g \in \mathbb{T} \Rightarrow \bar{\mu}(M^G) = M^G$. □

Rational abelian case (continued)

Assume G is abelian for simplicity.

Then $[\bar{\kappa}\kappa] = \sum_{\chi \in \hat{G}} [\beta_\chi]$.

Let $H = [\beta_{\hat{G}}]$ and $\Gamma = \langle H \cup [\theta] \rangle \subset \text{Out}(M^G)$.

Fusion rules of $\rho \Rightarrow$

$\Gamma = H \sqcup H[\theta]H$, and $\Gamma \curvearrowright \Gamma/H$ is sharply 2-transitive \Rightarrow

$\Gamma = \mathbb{F}_q \rtimes \mathbb{F}_q^\times$ and $H = \mathbb{F}_q^\times$.

Our categories are classified by $H^3(\mathbb{F}_q, \mathbb{T})^{\mathbb{F}_q^\times} \subset H^3(\Gamma, \mathbb{T})$.

Rational non-abelian case

In the previous case, we had

$$\rho(M) \subset \rho(M) \rtimes G = M^G \subset M.$$

In the rational non-abelian case, we have

$$\rho(M) \subset \rho(M) \rtimes [G, G] = M^G \subset \rho(M) \rtimes G = M^{[G, G]} = M.$$

More complicated argument using two intermediate subfactors (and induction reduction argument between $[G, G]$ and G) are necessary.

Irrational case

When $d(\rho)$ is irrational, $\langle g, h \rangle = \chi_h(g)$ is a non-degenerate symmetric bicharacter.

Recall $U_{\mathcal{K}}(g) \in B(\mathcal{K})$, where $\mathcal{K} = (\rho, \rho^2)$, is given by $\mathcal{K} \ni T \mapsto U(g)T$.

Three representations on board.

Definition

Let $\mathcal{H}(G)$ be the universal C^* -algebra generated by three unitary representations v_0, v_1, v_2 of G , and a unitary w of period 3 satisfying

$$v_{i+1}(g)v_i(h) = \langle h, g \rangle v_i(h)v_{i+1}(g),$$

$$w^*v_i(g)w = v_{i+1}(g),$$

where $i \in \mathbb{Z}/3\mathbb{Z}$.

Irrational case (continued)

Lemma

$\exists 3|G|$ irreducible representations of $\mathcal{H}(G)$, realized in $B(\ell^2(G))$ as

$$\pi_{a,c}(v_0(g))f(h) = \langle g, h \rangle f(h),$$

$$\pi_{a,c}(v_1(g))f(h) = f(h + g),$$

$$\pi_{a,c}(v_2(g))f(h) = a(h)\overline{a(h-g)}f(h-g),$$

$$\pi_{a,c}(w)f(h) = \frac{c}{\sqrt{n}} \sum_k a(h)\overline{\langle h, k \rangle} f(k),$$

where $a : G \rightarrow \mathbb{T}$ and $c \in \mathbb{T}$ satisfy

$$a(g+h)\langle g, h \rangle = a(g)a(h),$$

$$c^3 \sum_{g \in G} a(g) = \sqrt{n}.$$